## COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

Mail: 135 State House Station, Augusta, Maine 04333 Office: 45 Memorial Circle, Augusta, Maine

WEBSITE: WWW.MAINE.GOV/ETHICS PHONE: 207-287-4179

FAX: 207-287-6775

# STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by 5:00 p.m. on April 13, 2012. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

### **Reporting Deadlines**

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
  officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
  attorneys general), and any other executive branch employee who is appointed by the Governor and
  confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the
  preceding year as an initial report. (Employees appointed by the Governor must file an initial report
  before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor
  must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions <u>during the current</u> calendar year, file an "update statement" for the current year within 30 days of the substantial change.

PEDORT TYPE

#### General Instructions

- · Complete all sections. If a section is not applicable, check the box marked "None."
- · A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.

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|-----------------|-------------------------|---------------------|
| □ Annual        | □ Initial               | □ Update            |
|                 | EXECUTIVE EMPLOYEE INFO | ORMATION            |
| Name            |                         | Job Title           |
| CAldWEL         | h. SACKSOW              | Deputy Commissioner |
| Department      |                         | Phone (Work)        |
| AgriculTure     |                         | 207-287-7676        |
| Mailing Address |                         |                     |
| 28 STATE H      | ouse STATION, 1         | Augusta ME. 04330   |

| None. Check this box if | you do not have income t                     | from employment by another.                                 |              |
|-------------------------|--|---|--------------|
| Name of Employer        | Address                                      | Principal Type of Economic or Business Activity of Employer | Job Title    |
| 1xiond loawy            | 40 Western Aug<br>So. Pairs.<br>Maine. 04261 | ,   | Commissioner |
|                         |  |   |              |

| Part 2. Income from Self-Employn                           | nent                                 |  |  |
|--|--------------------------------------|--|--|
| □ None. Check this box if you do no                        | ot have income from self-employment. |  |  |
| Name of Your Business/Trade Name                           | Address                              | Principal Type of Economic or<br>Business Activity           |  |
| Sachson Aros   | DEG Hebrunud extond me cust          | , payofotato brower  |  |
| Name of Client or Customer, if required (see instructions) | Address                              | Principal Type of Economic or<br>Business Activity of Client |  |
| The Green Yhours   | DGG. HebrowiRd. OVI Took Me.         | vag STANd.   |  |
|  |                                      |  |  |

| None. Check this box if you do not have income from the practice of law. |         |                                 |                                   |   |  |
|--|---------|---------------------------------|-----------------------------------|---|--|
| Name of Practice or<br>Firm  | Address | Your Major Areas of<br>Practice | Firm's Major Areas of<br>Practice | Position: Partner,<br>Associate, Sole<br>Practitioner |  |
| ·  |         |                                 |                                   |   |  |
|  |         |                                 |                                   |   |  |
|  |         |                                 |                                   |   |  |
|  |         |                                 |                                   |   |  |

| ØNone. Check this box if you do not have income from any other source. |         |                |  |  |
|--|---------|----------------|--|--|
| Name of Source   | Address | Type of Income |  |  |
|  |         |                |  |  |
|  |         |                |  |  |
|  |         |                |  |  |
|  |         |                |  |  |
|  |         |                |  |  |
|  |         |                |  |  |
|  |         |                |  |  |

| □ None. Check this box if no members of employment or compensation.  |                                       | ne of \$1,000 or more from              |  |  |
|--|---------------------------------------|---|--|--|
| Name and Job Title Employer's Name and Address Principal Type of Economic o  (do not list name of dependent child) Employer's Name and Address Principal Type of Economic o  Business Activity of Employer |                                       |   |  |  |
| DIANE M. Sachson   | SEN. Olympia Swame<br>Loashingen D.C. | Field Rep. For<br>Andro. Oxfood Kneekl. |  |  |
|  |                                       | , .                                     |  |  |
|  |                                       |   |  |  |

| ₱ None. Check this box if no members of your immediate family derived income of \$1,000 or more from any other source. |                |  |  |  |
|--|----------------|--|--|--|
| Source's Name and Address  | Type of Income |  |  |  |
|  |                |  |  |  |
|  |                |  |  |  |
|  |                |  |  |  |
|  |                |  |  |  |

| Part 6. Loans  □ None. Check this box if you do not have reportable liabilities. |                       |  |  |  |
|--|-----------------------|--|--|--|
| Lender's Name  | Lender's Address      | Principal Type of Economic or<br>Business Activity of Lender |  |  |
| T.D. BANK  | OYFord. Me. 04570     | Morage   |  |  |
| CAPITAL ONE,   | Rich mout. VA., 23060 | ,  |  |  |
| Ford motor exedit  |                       | Car.   |  |  |

| None. Check this box if you have not received any gifts. |                |  |  |
|--|----------------|--|--|
| Source of Gift   | Source of Gift |  |  |
| 1.   | 4.             |  |  |
| 2.   | 5.             |  |  |
| 3.   | 6.             |  |  |

| Part 8. Honoraria  |    |  |  |  |
|--|----|--|--|--|
| Mone. Check this box if you have not received honoraria. |    |  |  |  |
| Source of Honoraria Source of Honoraria                  |    |  |  |  |
| 1.   | 4. |  |  |  |
| 2.   | 5. |  |  |  |
| 3.   | 6. |  |  |  |
|  |    |  |  |  |

| Part 9-A. Conducting Business with State Agencies  None. Check this box if neither you nor your immediate    | e family have done business with State agencies.     |
|--|--|
| Name of Agency   | Name of Individual Selling Goods or Services         |
|  |  |
|  |  |
| Part 9-B. Representing Others Before State Agencie  Ø None. Check this box if neither you nor your immediate |  |
|  |  |
| None. Check this box if neither you nor your immediate   | family have represented another before a State agenc |

# Part 10. Positions in For-Profit and Non-Profit Organizations

| Organization/Business<br>and Address | Title | Name of Position<br>Holder | Relationship to<br>Executive<br>Employee | Compensated<br>Yes/No |
|--------------------------------------|-------|----------------------------|--|-----------------------|
|                                      |       |                            | □ Self<br>□ Spouse<br>□ Dependent        |                       |
|                                      |       |                            | □ Self<br>□ Spouse<br>□ Dependent        |                       |
|                                      |       |                            | □ Self □ Spouse □ Dependent              |                       |

| S | IGN | ΔΤΙ | IRF |
|---|-----|-----|-----|

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWELDGE IT IS TRUE, CORRECT, AND COMPLETE.

Signature

4-2-12

Date

UNSWORN FALSIFICATION IS A CLASS D CRIME (17-A M.R.S.A. §453)